SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Ryll Thomas			2. Date of Event Requiring Stater Month/Day/Yea 03/29/2017	ment	Issuer Name and Ticker or Trading Symbol MMUNOGEN INC [IMGN]						
(Last) (First) (Middle) C/O IMMUNOGEN, INC.					4. Relationship of Reporting Pers (Check all applicable) Director	10% Owne	% Owner		vmendment, Date of Original Filed th/Day/Year)		
830 WINTER STREET					X Officer (give title below)	Other (specify below)		6. Individual or Joint/Group Filing (Check Applicable Line)			
(Street) WALTHAM MA 02451					VP, Technical Ope	erations	10113		X Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)									
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)				. Amount of Securities eneficially Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)				
Common Stock					106,600 ⁽⁵⁾	D					
Common Stock					1,500	D					
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securi Underlying Derivative Securit		4. Conver or Exer	cise	5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
		Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security					
Stock Option (right to buy)		08/17/2016 ⁽¹⁾	08/17/2025	Common Stock	60,000	14.56		D			
Stock Option (right to buy)		06/01/2017 ⁽²⁾	06/01/2026	Common Stock	4,000	5.7	5	D			
Stock Option (right to buy)			07/18/2017 ⁽³⁾	07/18/2026	Common Stock	40,000	3.0	5	D		
Stock Option (right to buy)		09/30/2017 ⁽⁴⁾	09/30/2026	Common Stock	38,000	2.6	8	D			

Explanation of Responses:

1. 15,000 shares exercisable as of filing date, 15,000 shares exercisable commencing on August 17, 2017, 15,000 shares exercisable commencing on August 17, 2018 and 15,000 shares exercisable commencing on August 17, 2019.

2. Exercisable as to 1,334 shares commencing on June 1, 2017, 1,333 shares exercisable commencing on June 1, 2018, and 1,334 shares exercisable commencing on June 1, 2019.

3. Exercisable as to 13,334 shares commencing on July 18, 2017, 13,333 shares commencing on July 18, 2018, and 13,333 shares commencing on July 18, 2019.

4. Exercisable as to 12,667 shares commencing on September 30, 2017, 12,667 shares commencing on September 30, 2018, and 12,666 shares commencing on September 30, 2019.

5. These shares are restricted stock which vest annually in three equal installments commencing on February 21, 2018.

<u>/s/ Craig Barrows, attorney in</u> <u>fact</u>	04/06/2017
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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